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5

## 6 **Sickle cell disease children's gut colonization by** 7 **extended-spectrum $\beta$ -lactamase (ESBL)-producing** 8 ***Enterobacterales*: an antibiotic prophylaxis effect?**

9

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### 33 **1.4 Keyword**

34 Sickle cell disease; antibiotic prophylaxis; gut microbiota; *Enterobacterales*; multidrug  
35 resistance and extended-spectrum  $\beta$ -lactamase (ESBL)-producing *Enterobacterales*.

36

## 37 **2. Abstract**

38 **Introduction:** Sickle cell disease (SCD) children have a high susceptibility to pneumococcal  
39 infection. For this reason, they are routinely immunized with pneumococcal vaccines and use  
40 antibiotic prophylaxis (AP).

41 **Hypothesis/Gap Statement:** Yet, little is known about SCD children's gut microbiota. If  
42 antibiotic-resistant *Enterobacterales* may colonize people on AP, we hypothesized that SCD  
43 children on AP are colonized by resistant enterobacteria species.

44 **Objective:** To evaluate the effect of continuous AP on *Enterobacterales* gut colonization  
45 from children with SCD.

46 **Methodology:** We analysed 30 faecal swabs from SCD children on AP and 21 swabs from  
47 children without the same condition. *Enterobacterales* was isolated on MacConkey agar  
48 plates and identified by matrix-assisted laser desorption/ionization time-of-flight mass  
49 spectrometry (MALDI-TOF MS) (bioMérieux, Marcy l'Etoile, France). We performed the  
50 antibiogram by Vitek 2 system (bioMérieux, Marcy l'Etoile, France), and the resistance genes  
51 were identified by multiplex PCR.

52 **Results:** We found four different species with resistance to one or more different antibiotic  
53 types in the AP-SCD children's group: *Escherichia coli*, *Klebsiella pneumoniae*, *Citrobacter*  
54 *freundii*, and *Citrobacter farmeri*. Colonization by resistant *E. coli* was associated with AP  
55 (prevalence ratio 2.69, 95% confidence interval [CI], 1.98–3.67,  $P < 0.001$ ). Strains producing  
56 extended-spectrum  $\beta$ -lactamases (ESBL) were identified only in SCD children, *E. coli*, 4/30  
57 (13%), and *K. pneumoniae*, 2/30 (7%). The ESBL-producing *Enterobacterales* were  
58 associated with penicillin G benzathine use (95 % CI, 22.91–86.71,  $P < 0.001$ ). CTX-M-1 was  
59 the most prevalent among ESBL-producers (3/6, 50%), followed by CTX-M-9 (2/6, 33%),  
60 and CTX-M-2 (1/6, 17%).

61 **Conclusion:** Resistant enterobacteria colonize SCD children on AP, and this therapy raises  
62 the chance of ESBL-producing *Enterobacterales* colonization. Future studies should focus on  
63 prophylactic vaccines as exclusive therapy against pneumococcal infections.

### 65 3. Introduction

66 Children with sickle cell disease (SCD) are at high risk of *Streptococcus pneumoniae*  
67 infection [1], but death by invasive pneumococcal is now rare [2, 3] due to prophylactic  
68 measures by vaccination and penicillin use [4–6]. However, *Acinetobacter* spp. and  
69 *Enterobacterales* such as *Salmonella* spp., *Escherichia coli*, and *Klebsiella pneumoniae* have  
70 already been identified as important bacterial agents associated with SCD children invasive  
71 infections [2, 7, 8].

72  
73 In the last decade, researchers have focused attention on the human gut microbiota. However,  
74 in SCD children, the microbiota profile has not been yet elucidated, especially related to  
75 enterobacteria. *Enterobacterales* species, bacteria of the intestinal microbiota, are often

76 associated with extraintestinal infections, especially in immunosuppressed individuals. The  
77 most common observed infections are abscesses, pneumonia, meningitis, bloodstream  
78 (sepsis), surgical site, and urinary tract infections (UTI) [9]. Antibiotic resistance has become  
79 a global threat to public health due to its overuse or inappropriate prescription [10, 11]. The  
80 emergence and dissemination of multidrug-resistance *Enterobacterales* are one of the causes  
81 of this threat [11]. At present,  $\beta$ -lactam resistance is a major concern worldwide [12].

82

83 Antibiotic prophylaxis (AP) may lead to gut colonization by antibiotic-resistant bacteria [13,  
84 14], and for this reason, we hypothesize that SCD children on AP are colonized by resistant  
85 enterobacteria. In this study, we evaluate the effect of continuous AP on *Enterobacterales*  
86 species gut microbiota colonization from SCD children. Also, we investigate the  
87 antimicrobial susceptibility profile and the resistance genes associated with  $\beta$ -lactamase  
88 production.

89

## 90 **4. Methods**

### 91 **Study design**

92 We conducted a sample convenience cross-sectional observational study. Fifty-one children  
93 participated in the study. In the SCD group, 30 participants (ages 2–12 years) had the  
94 followed hemoglobin (Hb) genotypes: HbSS (sickle cell anemia; 16/30), HbSC (hemoglobin  
95 SC disease; 13/30), and HbS $\beta$ -thalassemia (sickle/ $\beta$ -thalassemia; 1/30), all on AP. The next  
96 group was composed of 21 children (ages 2–17 years) who were SCD group relatives,  
97 genotyped as HbAA (normal hemoglobin; 8/21), HbAS (sickle cell trait; 8/21), and,  
98 unfortunately, five children (5/21) did not have their genotype information on their medical  
99 records. This group lives in the same SCD children's group household in close contact, all

100 without AP. The calculated sample size corresponded to approximately 10% of the annual  
101 incidence of SCD in Bahia, Brazil.

102

### 103 **Inclusion and non-inclusion criteria**

104 In the AP-SCD children's group, children under 2 years of age who discontinued AP in the  
105 last year, showing risk factors associated with gut microbiota alteration, such as inflammatory  
106 bowel disease, colon cancer, irritable bowel syndrome, gastric ulcers, non-alcoholic fatty  
107 liver disease, obesity, metabolic syndromes, asthma, atopy, and hypertension; and who did  
108 not sign the adult consent, or a child's assent form to participate in the research, were not  
109 included.

110

111 Similarly, in the group without AP use, children under 2 years of age, who used antibiotics in  
112 the last 3 months, and do not reside in the same home as the AP-SCD children, with the  
113 presence of the above-mentioned risk factors associated with gut microbiota alteration, and  
114 who did not agree with the research, were not included.

115

### 116 **Sample collection**

117 Stool samples were obtained at home by participants in a sterile stool sample container that  
118 we previously provided. Swabs were directly immersed in the fresh faecal samples and were  
119 transported in Stuart medium, at room temperature, to the Clinical and Research  
120 Microbiology Laboratory at the Federal University of Bahia's Faculty of Pharmacy. The  
121 average time between faecal swab collection and processing was around 24 h.

122

### 123 ***Enterobacterales* isolation, identification, and antimicrobial susceptibility determination**

124 All swabs were streaked onto MacConkey agar plates, which were incubated for 24 h at 36±1  
125 °C. For each plate, two to five different colony morphologies were transferred to a Triple  
126 Sugar Iron agar slant to screen *Enterobacterales*. The isolates were kept at −80 °C in a Brain  
127 Heart Infusion broth medium supplemented with 10% (v/v) glycerol for further analysis.

128

129 The isolates were identified by matrix-assisted laser desorption/ionization time-of-flight mass  
130 spectrometry (MALDI-TOF MS) (bioMérieux, Marcy l'Etoile, France). The antibiotic  
131 susceptibility profile was determined by Vitek 2 system (bioMérieux, Marcy l'Etoile, France),  
132 testing the following antibiotics: amikacin, ampicillin/sulbactam, cefepime, ceftazidime,  
133 ceftriaxone, cefuroxime, ciprofloxacin, ertapenem, gentamicin, imipenem, meropenem, and  
134 piperacillin/tazobactam. Antibiotic susceptibility was interpreted using the Clinical and  
135 Laboratory Standards Institute (document M100, 2019) [15] and the Brazilian Health  
136 Regulatory Agency (ANVISA) (technical note 01/2013) [16] guidelines. Multidrug resistance  
137 was defined as resistance to three or more antimicrobial categories [17]. When the  
138 participants were colonized with more than one bacteria of the same species, we  
139 distinguished the different strains by evaluating the antibiotic susceptibility profile.

140

#### 141 **DNA extraction and antibiotic resistance gene identification**

142 The DNA extraction and multiplex PCR were performed according to the protocol developed  
143 by Dallenne et al. [18], using primer sequences for the *bla*<sub>TEM</sub>, *bla*<sub>SHV</sub>, *bla*<sub>OXA-1-like</sub>, *bla*<sub>CTX-M-1</sub>,  
144 *bla*<sub>CTX-M-2</sub>, and *bla*<sub>CTX-M-9</sub> genes.

145

#### 146 **Statistical analysis**

147 We performed descriptive data analysis, by using medians and proportions (i.e. frequencies).  
148 We did Pearson's chi-square test association analysis by inserting data in the contingency  
149 tables to obtain prevalence ratios. Also, we used a chi-squared proportions test comparison.  
150 The significant association was reached when  $P < 0.05$  to a confidence interval (CI) of 95%.  
151 The study data was analysed on GraphPad Prism version 6.01 for Windows (GraphPad  
152 Software, La Jolla, CA, USA) and at the online tool medcalc.net. Graphical representations  
153 were also performed on GraphPad Prism v. 6.01 software.

154

## 155 **5. Results**

### 156 **Characteristics of the study population**

157 From the 51 participants investigated in this study, over half of SCD children (16/30, 53%)  
158 had HbSS, 13/30 (43%) had HbSC, and 1/30 (3%) HbS $\beta$ -thalassemia. The genotypes of the  
159 group without AP were 8/21 (38%) HbAA, 8/21 (38%) HbAS, and a minority of 5/21 (24%)  
160 was not determined. Eighty percent (24/30) of SCD children were using oral penicillin V, and  
161 a minority (6/30, 20%), intramuscular penicillin G benzathine (Table 1).

162

163 **Table 1.** Distribution and characteristics of children with sickle cell disease on antibiotic  
 164 prophylaxis, and children without antibiotic prophylaxis according to age, sex, genotype, and  
 165 antibiotic used

Characteristics	On AP (n=30)	Without AP (n=21)
<b>Age*</b>	4 (2-12)	8 (2-17)
<b>Sex</b>		
Female	17 (57%)	12 (57%)
Male	13 (43%)	9 (43%)
<b>Genotype</b>		
HbSS	16 (53%)	-
HbSC	13 (43%)	-
HbSβ-thalassemia	1 (3%)	-
HbAA	-	8 (38%)
HbAS	-	8 (38%)
Unknown	-	5 (24%)
<b>Antibiotic prophylaxis</b>		
Oral penicillin V†	24 (80%)	-
Intramuscular penicillin G benzathine‡	6 (20%)	-

166 \*Age expressed in years and median (minimum to maximum).

167 †<3 years, 125 mg twice daily; >3 years, 250 mg twice daily.

168 ‡600,000 Units once montly.

169 AP, Antibiotic prophylaxis; HbAA, normal hemoglobin; HbAS, sickle cell trait; HbSC,  
 170 hemoglobin SC disease; HbSS, sickle cell anemia; HbSβ-thalassemia, sickle/β-thalassemia.

171

## 172 ***Enterobacteriales* species diversity**

173 We found seven *Enterobacteriales* species in the AP-SCD children's group and nine in the

174 group without the evaluated condition. Five common species were found in both groups. The

175 *E. coli* and *K. pneumoniae* species were the most frequent in the groups (51/86 [59%] and

176 25/51 [49%] for *E. coli*, and 22/86 [26%] and 12/51 [24%] for *K. pneumoniae*, on AP-SCD

177 and non-AP groups, respectively), followed by *Enterobacter cloacae* (7/86 [8%] and 6/51

178 [12%], on AP-SCD and non-AP groups, respectively) (Table 2). It is worth mentioning that

179 some participants were colonized with more than one *E. coli*, *K. pneumoniae*, *E. cloacae*, and

180 *Klebsiella aerogenes* strains. There were no significant differences between bacterial isolates

181 and bacterial diversity when evaluating the AP-SCD and non-AP children's groups (95% CI,



182 -1.22–23.30,  $P=0.08$ ), although the group without AP showed higher *Enterobacterales*  
 183 diversity in a smaller number of isolates.

184

185 **Table 2.** Frequencies and diversity of *Enterobacterales* isolated from the gut microbiota of  
 186 children with sickle cell disease on antibiotic prophylaxis, and the group without antibiotic  
 187 prophylaxis

<b>Isolated <i>Enterobacterales</i></b>	<b>On AP (n=30)</b>	<b>Without AP (n=21)</b>	<b>P</b>
	<b>Frequency (%)</b>	<b>Frequency (%)</b>	
<i>Escherichia coli</i>	51 (59%)	25 (49%)	
<i>Klebsiella pneumoniae</i>	22 (26%)	12 (24%)	
<i>Enterobacter cloacae</i>	7 (8%)	6 (12%)	
<i>Citrobacter freundii</i>	3 (3%)	1 (2%)	
<i>Klebsiella aerogenes</i>	1 (1%)	3 (6%)	
<i>Citrobacter farmeri</i>	1 (1%)	-	
<i>Citrobacter werkmanii</i>	1 (1%)	-	
<i>Citrobacter koseri</i>	-	1 (2%)	
<i>Escherichia fergusonii</i>	-	1 (2%)	
<i>Klebsiella oxytoca</i>	-	1 (2%)	
<i>Kluyvera ascorbata</i>	-	1 (2%)	
<b>Total</b>	<b>86 (100%)</b>	<b>51 (100%)</b>	
<b>Different species</b>	<b>7 (8%)</b>	<b>9 (18%)</b>	<b>0.08*</b>

188 \*No statistical significance at  $P<0.05$ .

189 AP, Antibiotic prophylaxis.

190

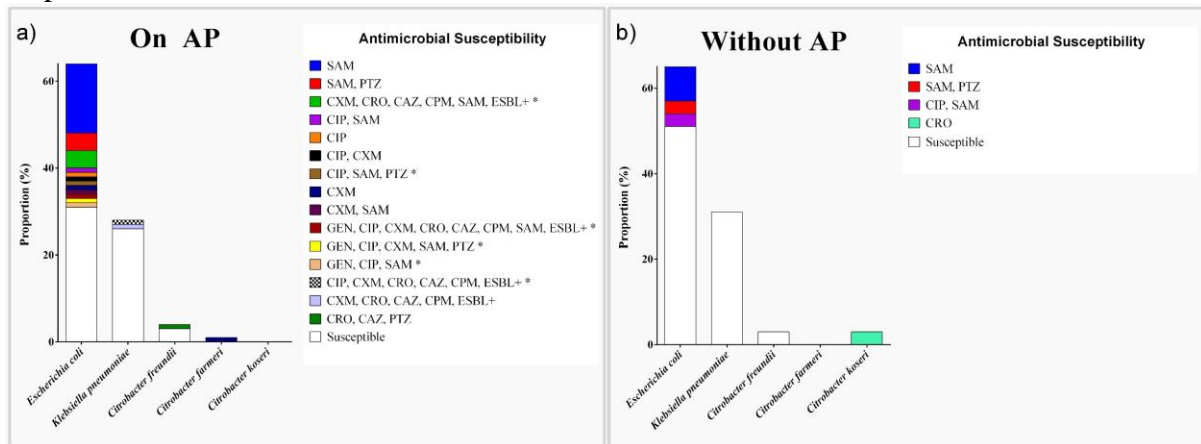
191 **Antimicrobial susceptibility profiles of *Enterobacterales* isolates**

192 Five species of resistant *Enterobacterales* were identified: *E. coli*, *K. pneumoniae*,  
 193 *Citrobacter freundii*, *Citrobacter farmeri*, and *Citrobacter koseri*. Among them were  
 194 observed a high rate of antibiotic resistance in *E. coli* isolates (27/51, 53%) in the AP-SCD  
 195 children's group (Fig. 1). All bacterial isolates were susceptible to amikacin and  
 196 carbapenems.

197

198

199 **Fig. 1.** Antimicrobial susceptibility profile. *E. coli*, *K. pneumoniae*, *C. freundii*, *C. farmeri*,  
 200 and *C. koseri* isolates on (a) sickle cell disease children on antibiotic prophylaxis and (b)  
 201 children without antibiotic prophylaxis. \* Indicates a multidrug-resistant profile. ESBL+  
 202 denotes ESBL-producing isolates. AP, antibiotic prophylaxis; CIP, ciprofloxacin; CXM,  
 203 cefuroxime; CRO, ceftriaxone; CAZ, ceftazidime; CPM, cefepime; ESBL, extended-  
 204 spectrum  $\beta$ -lactamase; GEN, gentamicin; PTZ, piperacillin/tazobactam; SAM,  
 205 ampicillin/sulbactam.



206

207

### 208 Carriage prevalence of antibiotic-resistant *Enterobacterales*

209 Sixty-seven percent of SCD children on AP (20/30) were colonized by resistant *E. coli*, 7/30  
 210 (23%) by *E. coli* multidrug-resistant, and 4/30 (13%) by ESBL-producing *E. coli*. On the  
 211 other hand, 4/21 (19%) of children without AP were colonized by resistant *E. coli*. By the  
 212 way, two of these children were living in close contact with the AP-SCD patients colonized  
 213 by *E. coli* with the same resistant pattern. No multidrug-resistant or ESBL-producing  
 214 *Enterobacterales* were found in the children without AP (Table 3).

215

216

217 **Table 3.** Frequency of children with or without isolates of antibiotic-resistant *Enterobacterales*  
 218 and extended-spectrum  $\beta$ -lactamase producers

<b>Presence of antibiotic resistance</b>	<b>On AP (n=30)</b>	<b>Without AP (n=21)</b>
<b><i>Escherichia coli</i></b>		
Presence	20 (67%)	4 (19%)
Multidrug-resistant	7 (23%)	-
ESBL +	4 (13%)	-
<b><i>Klebsiella pneumoniae</i></b>		
Presence	2 (7%)	-
Multidrug-resistant	1 (3%)	-
ESBL +	2 (7%)	-
<b><i>Citrobacter freundii</i></b>		
Presence	1 (3%)	-
Multidrug-resistant	-	-
ESBL +	-	-
<b><i>Citrobacter farmeri</i></b>		
Presence	1 (3%)	-
Multidrug-resistant	-	-
ESBL +	-	-
<b><i>Citrobacter koseri</i></b>		
Presence	-	1 (5%)
Multidrug-resistant	-	-
ESBL +	-	-

219 + Positive.

220 AP, Antibiotic prophylaxis; ESBL, extended-spectrum  $\beta$ -lactamase.

221

222 When antibiotic-resistant and non-resistant *E. coli* were compared, concerning antibiotic use  
 223 or not in both groups, we found a significant association between AP and *E. coli* resistance  
 224 (95% CI, 1.98–3.67,  $P < 0.001$ ). The prevalence ratio of resistant *E. coli* was 2.69 times higher  
 225 in the AP-SCD children's group compared to the group without the evaluated condition.

226

227 Two SCD children (2/30, 7%) on AP were colonized by resistant *K. pneumoniae*. Although  
 228 this colonization was by ESBL-producing strains, only one *K. pneumoniae* showed multidrug  
 229 resistance. On the other hand, the children's group without AP was not colonized by resistant

230 *K. pneumoniae*. Two SCD children on AP were colonized by resistant *Citrobacter* (1/30 *C.*  
231 *freundii* and 1/30 *C. farmeri*). One child (1/21) without AP was colonized by resistant *C.*  
232 *koseri* (Table 3); however, we do not find resistant enterobacteria in the AP-SCD child who  
233 was living in close contact with this non-AP child.

234

235 The prevalence of ESBL-producing *Enterobacterales* was higher among SCD children who  
236 used penicillin G benzathine, 4/6 (67%) children were colonized. On the other hand, the  
237 prevalence of ESBL-producing *Enterobacterales* among children who used penicillin V was  
238 1/24 (4%). To evaluate the association between penicillin G benzathine use and ESBL-  
239 producing *Enterobacterales* colonization, a proportion's comparison test was used. The  
240 evaluated association was significant (95% CI, 22.91-86.71,  $P < 0.001$ ).

241

#### 242 **Molecular profiles of $\beta$ -lactamases**

243 The molecular analysis of  $\beta$ -lactamase producing isolates demonstrated that AP-SCD  
244 children were colonized by enterobacteria with the *bla*<sub>TEM</sub> (13/30, 43%), *bla*<sub>CTX-M-9</sub> (2/30,  
245 7%), *bla*<sub>CTX-M-1</sub> (1/30, 3%), *bla*<sub>CTX-M-1</sub> and *bla*<sub>TEM</sub> (1/30, 3%), *bla*<sub>CTX-M-2</sub> and *bla*<sub>TEM</sub> (1/30,  
246 3%), and *bla*<sub>CTX-M-1</sub>, *bla*<sub>SHV</sub>, and *bla*<sub>TEM</sub> (1/30, 3%) genes. Five SCD children (5/30, 17%)  
247 were colonized by enterobacteria resistant to  $\beta$ -lactam; however, genes related to this  
248 resistance were not between the investigated genes. On the other hand, just the *bla*<sub>TEM</sub> gene  
249 (4/21, 19%) was found in the children's group without AP, and one child (1/21, 5%) was  
250 colonized by  $\beta$ -lactamase-producing *Enterobacterales* whose gene was not identified. No  
251 resistant bacteria showed the *bla*<sub>OXA-1-like</sub> resistance gene in the investigated groups (Table 4).

252

253 **Table 4.**  $\beta$ -lactamases associated with resistance in *E. coli*, *K. pneumoniae*, *C. freundii*, *C.*  
 254 *farmeri*, and *C. koseri*

<b>Microorganism/<math>\beta</math>-Lactamases found</b>	<b>On AP (n=30)</b>	<b>Without AP (n=21)</b>
<b><i>Escherichia coli</i></b>		
TEM	13 (43%)	4 (19%)
CTX-M-9	2 (7%)	-
CTX-M-1, TEM	1 (3%)	-
CTX-M-2, TEM	1 (3%)	-
Other unidentified	3 (10%)	-
<b><i>Klebsiella pneumoniae</i></b>		
CTX-M-1	1 (3%)	-
CTX-M-1, SHV, TEM	1 (3%)	-
<b><i>Citrobacter freundii</i></b>		
Other unidentified	1 (3%)	-
<b><i>Citrobacter farmeri</i></b>		
Other unidentified	1 (3%)	-
<b><i>Citrobacter koseri</i></b>		
Other unidentified	-	1 (5%)

255 SHV, SHV variants including SHV-1; TEM, TEM variants including TEM-1 and TEM-2;  
 256 CTX-M-1, Variants of CTX-M group 1 including CTX-M-1, CTX-M-3, and CTX-M-15;  
 257 CTX-M-2, Variants of CTX-M group 2 including CTX-M-2; CTX-M-9, Variants of CTX-M  
 258 group 9 including CTX-M-9 and CTX-M-14.  
 259 AP, Antibiotic prophylaxis.

260

## 261 **6. Discussion**

262 Children with SCD have a high susceptibility to pneumococcal infection, especially in those  
 263 aged <5 years [1, 19]. For this reason, adherence to penicillin AP and vaccine regimens is  
 264 recommended [4–6], which is a safe treatment against *S. pneumoniae*, especially since  
 265 penicillin-resistant *S. pneumoniae* serotypes are not selected [20].

266

267 Despite the discrepancy in children's ages between the groups in this study, the gut  
 268 microbiota stabilizes and resembles the adult gut microbiota around the age of 2 years old

269 [21], which justified the comparative study between the groups. Also, the elevated prevalence  
270 of oral penicillin V use between AP-SCD children (80%) is in line with the literature [22].

271

272 In contradiction with the literature, we found no significant difference between species  
273 diversity. Several studies demonstrated the association of antibiotic use with reduced gut  
274 microbiota diversity [23, 24]. We believe that the sample size could be the reason for non-  
275 significance, given that our findings demonstrate a tendency.

276

277 In the current study, 67% (20/30) of SCD children on AP were colonized by antibiotic-  
278 resistant *E. coli*, and 13% (4/30) of those children were colonized by this ESBL-producing  
279 bacteria. Therefore, these children are at high risk of severe infection by resistant *E. coli*,  
280 especially UTI. *E. coli* is the pathogen most associated with this infection, including in  
281 children [25]. Besides, it is believed that infective strains of *E. coli* from the gut microbiota  
282 contaminate and cause UTI [26].

283

284 The prevalence of resistant *E. coli* was 2.69 times higher among SCD children on AP  
285 compared to the group without the evaluated condition. Some studies have already shown  
286 that antibiotic use may lead to the emergence of new mutants or growth of existing  
287 antimicrobial-resistant gut microbiota populations, promoting the proliferation of Gram-  
288 negatives with these characteristics due to selective pressure [13, 14, 27, 28].

289

290 It was found that two SCD children on AP (2/30, 7%) were colonized by ESBL-producing *K.*  
291 *pneumoniae*. Although *K. pneumoniae* presents a high resistance rate, even higher than *E.*  
292 *coli* [29], this pattern is observed in hospitals, but *K. pneumoniae* is also a pathogen causing

293 community-acquired infections [30, 31]. However, based on this prevalence, this is a target  
294 for surveillance. The association between gastrointestinal colonizing *K. pneumoniae* with  
295 subsequent infections, particularly for pneumonia and UTI, has been demonstrated [32].  
296 Besides, this bacteria is the second most common *Enterobacterales* in UTI in children [25,  
297 33].

298

299 According to the multidrug-resistant classification criteria described by Magiorakos et al.  
300 [17], microorganisms resistant to at least three antibiotic categories, 8/30 SCD children on  
301 AP were colonized by multidrug-resistant *Enterobacterales*. This finding is worrying due to  
302 the relationship of these microorganisms with community-acquired infections [34–36], which  
303 can cause severe infections in these children due to difficult-to-treat resistances.

304

305 We found an association between ESBL-producing *Enterobacterales* and penicillin G  
306 benzathine use. We considered ESBL producer's prevalence high, 4/6 (67%) cases in the  
307 penicillin G group. Given that this finding is based on a limited number of children that used  
308 this antibiotic (n=6), this result should be treated with caution. However, we suggest the  
309 attention on AP with this antibiotic in SCD children, and further research is warranted to  
310 confirm this association.

311

312 In the molecular analysis of  $\beta$ -lactam resistant isolates, we found a high prevalence of  
313 children colonized by TEM-enzyme producers *Enterobacterales*, especially *E. coli*. This is  
314 consistent with the literature since this enzyme is the most commonly encountered  $\beta$ -  
315 lactamase in Gram-negative bacteria. Besides, TEM-1 is responsible for approximately 90%  
316 of ampicillin resistance in *E. coli* [37]. Although  $\beta$ -lactamase inhibitors typically inhibit the

317 TEM-enzyme, several studies demonstrated variants of this enzyme as responsible for  
318 resistance to  $\beta$ -lactam/ $\beta$ -lactamase inhibitor combinations, including ampicillin/sulbactam  
319 and piperacillin/tazobactam, which justifies our results [38, 39].

320

321 Regarding the CTX-M-group enzymes on ESBL-producers, CTX-M-1 was the most  
322 prevalent in isolates and found in three SCD children (3/30, 10%), followed by CTX-M-9  
323 (2/30, 7%) and CTX-M-2 (1/30, 3%). In a study that determined CTX-M-producer's  
324 prevalence of faecal isolates in asymptomatic people in Thailand, the CTX-M-9 group (61%)  
325 was the most prevalent, followed by CTX-M-1 (39%) [40]. In another study in Brazil with  
326 bloodstream infection isolates, CTX-M-1 was the most predominant [41]. In South American  
327 countries, CTX-M-2 is the most detected [42], with CTX-M-2 most prevalent in Brazil  
328 followed by CTX-M-1, particularly in *K. pneumoniae* and *E. coli* [43]. SCD children  
329 colonized by CTX-M-type ESBL-producing *Enterobacterales* raise the chance of severe  
330 infection by these bacteria, given the ability of this enzyme to hydrolyse broad-spectrum  
331 cephalosporins [44].

332

333 Although SCD children in the present study colonized by resistant *Enterobacterales* were in a  
334 stable state, the chance of translocating resistant *E. coli* strains to different extraintestinal  
335 sites is raised, mainly due to it being the most frequent microorganism in translocations,  
336 especially in postoperative processes. This event also occurs in spontaneous conditions [45,  
337 46]. Also, faecal contamination in wounds, surfaces, medical devices, and hands contributes  
338 to the subsequent spread of these microorganisms [47], and these pathogens are released into  
339 the environment through faecal contamination in soils and water, mainly in regions of poor or



340 non-existent basic sanitation. Therefore, these SCD children are a source of community  
341 transmission of resistant enterobacteria.

342

343 The limitations of this study were its cross-sectional design, which made it impossible to  
344 gauge causality among the studied phenomena, and the sample size, which limited the  
345 analysis's statistical power. We had difficulty finding children without SCD and AP living in  
346 the same house as AP-SCD children. Also, in the group without AP, five children did not  
347 have their genotype information in their medical records. As the association of penicillin G  
348 benzathine use with the presence of ESBL-producing *Enterobacterales* was based on a  
349 limited number of SCD children cases who used this antibiotic, this association needs to be  
350 well evaluated for a better understanding.

351

352 In summary, we observed that SCD children on AP from our casuistic have a 2.69 times  
353 higher chance to have resistant *E. coli* gut colonization. We also found that penicillin G  
354 benzathine use appeared to be associated with ESBL-producing *Enterobacterales*, and the  
355 CTX-M-1 group enzyme was the most prevalent among the ESBL-producing (3/6, 50%),  
356 followed by CTX-M-9 (2/6, 33%), and CTX-M-2 (1/6, 17%) groups. Further studies on AP  
357 in SCD children are urgently needed to prevent the spread and risk of resistant-  
358 *Enterobacterales* infections. Based on these results, we suggest that alternative therapies  
359 regarding prophylactic antibiotic use in SCD children should be evaluated. Future studies  
360 should consider the use of prophylactic vaccines in SCD children as an exclusive therapy  
361 against pneumococcal infections.

362

## 363 **7. Author statements**

### 364 **7.1 Authors and contributors**

365 Adriano de Souza Santos Monteiro: conceptualization; formal analysis; investigation; data  
366 curation; writing (original draft preparation).

367 Eduardo Gomes de Oliveira: conceptualization; investigation; data curation.

368 Djanilson Barbosa dos Santos: conceptualization; methodology.

369 Soraia Machado Cordeiro: methodology; resources; writing (review and editing); supervision.

370 Ricardo David Couto: conceptualization; methodology; formal analysis; resources; writing  
371 (review and editing).

372 Fábio David Couto: conceptualization; methodology; resources; writing (review and editing);  
373 supervision; project administration; funding.

374

### 375 **7.2 Conflicts of interest**

376 The authors declare that there are no conflicts of interest.

377

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382

### 383 **7.4 Ethical approval**

384 All legal guardians and participants gave their informed consent after being informed about  
385 the aims, study risks, and benefits. General information about the participants was collected  
386 through interviews. The study was conducted only after the ethics committee approval,  
387 authorization numbers 77667917.0.0000.0056 (Federal University of Reconcavo of Bahia)

388 and 77667917.0.3001.8035 (Faculty of Pharmacy, Federal University of Bahia), according to  
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390

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393

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